

7/7/04



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q81049

Satoshi OKAMOTO, et al.

Appln. No.: 10/824,475

Group Art Unit: 1711

Confirmation No.: 6492

Examiner: Unknown

Filed: April 15, 2004

For: LIQUID-CRYSTALLINE POLYESTER SOLUTION COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Laid-Open Patent Publication No. 62-64832 published March 23, 1987, to Unitika Ltd., with English Abstract.
2. Japanese Laid-Open Patent Publication No. 2002-114894 published April 16, 2002, to Sumitomo Chemical Co., Ltd. This application corresponds to U.S. Patent Application Publication No. 2004/0091686 A1.
3. U.S. Patent Application Publication No. 2004/0091686 A1 published May 13, 2004, to Okamoto et al.

One copy of each of the listed documents is submitted herewith, except for the U.S. patent publication as this application was filed after June 30, 2003.

OKAMOTO et al.
U.S. Appln. No.: 10/824,475
INFORMATION DISCLOSURE STATEMENT

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that references are discussed within the specification beginning at page 1, fourth and second lines from the bottom, respectively.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

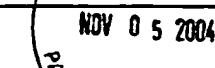
Respectfully submitted,


John T. Callahan
Registration No. 32,607

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

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Date: November 5, 2004

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| Substitute for Form 1449 A & B/PTO | | NOV 05 2004 | Complete if Known | | |
| <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> | |  | | | |
| (use as many sheets as necessary) | | Application Number | 10/824,475 | | |
| | | Confirmation Number | 6492 | | |
| | | Filing Date | April 15, 2004 | | |
| | | First Named Inventor | Satoshi OKAMOTO | | |
| | | Art Unit | 1711 | | |
| | | Examiner Name | Unknown | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | Q81049 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ **Date Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.